

21 Academy

Course

Online Sessions

Data Protection Officer

Data Protection Officer/Lead

www.21academy.education

\$ 2099 5486



a resource respect to the

ADEX N

NAMO 101

Data Protection Officer/ Lead Course

Session No. 1 – 16.04.2024

- 1. Background on GDPR
- 2. The role of the DPO what it involves
- 3. What constitutes personal data
- 4. The 6 data protection principles (or 7?) applying them and showing compliance



ADVOCATES

Av. Mark E. Zammit <u>mark.zammit@fenechlaw.com</u>









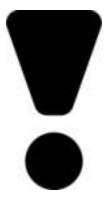
A NEW EU REGULATION BUT THIS DID NOT REINVENT THE WHEEL





WHY? We have had a Data Protection Act since 2001

Chapter 440 Laws of Malta







Directive 95/46/EC

44.1.48 has ad . He rills Historican rempform W.L. Maketa

DEPUTYS SHOPT M. NEUMENT REPORTS FT DUCCSHOP.

ils 14 mate ; 1990

eters y a la providore de personne pleasant à l'agent de manerens de develor à compresaccuration is it. They also derive all are character

the state stopping is an address to the interface state and a state of ----

IN THE MARK & GARMON LEDGER 21 concernence and a U.S. OlitA.

math. courses an a conservation? L.

"" Tate da Lister, according a martirly

instant codomonica is provider taken 5 Yande-Lift b de mail ".

- off, some and the second section of the section of detenis sine transport, to she medife per & mateto filling completes one day a subser mastore and over the boats due to yaph-ecopies, builder de platter die contraby Basic part of Francescont which is series of an soore contras & status associat as their or discourtly, because up descet If a tips a pressavor. Lastinuous compose the hereiturn de en de un parem à montrer et carriers I successful literation a secondarie ha diretance on or realize an its oracle forcance. as contra the bornethting of its of the tions residents and got data for memory tails. internet of comparis designed designed de Phorene et una approvision de la comparis de la comp
- (1) considerer van het weidens als techniser on dettestas carras store & Heeron parts denote policing of the relation of the lighter com-Institutional de des possibles, re-constantin etpairs a cor her in pixels enterious et trail, an erenderen til mitten over en et Income des creatings,

and do not be interested on the bears

 $\begin{array}{l} \mathbf{u} \in [\mathbf{u}_{1}, \mathbf{v}_{2}] \in \mathbb{R}^{2} \text{ and } \mathbf{u} \in [\mathbf{u}_{1}, \mathbf{u}_{2}] \in [\mathbf{u}_{2}, \mathbf{u}_{2}], \\ \mathbf{u}_{2} \in [\mathbf{u}_{2}, \mathbf{u}_{2}] \in [\mathbf{u}_{2}, \mathbf{u}_{2}] \in [\mathbf{u}_{2}, \mathbf{u}_{2}], \\ \mathbf{u}_{2} \in [\mathbf{u$ $\begin{array}{c} \mathrm{In}(1) = \{ \mathrm{In}(q_1) \ \mathrm{In}(q_2) \ \mathrm{In}(q_3) \ \mathrm{In}(q_3)$ RAM & TWO

month Partick 7A As 1995, its law, or advect in cassionly, he prime, he usuan a to other or manu conserves advert the car depairs a calable investor interest. carden internation from the second a Citable, same stakes in an issues websited at some rection trends.

- 144 considerer das care-a Germanan, e an fai de the of the baselinest could a collected demeaning to comparing processed where the statute constraints database management of stands, gas becomes the school of the first continue to b reconsideration of a station of the organic site considers.
- considerer que l'anigetières conseigners sanch restaurs le familianement et la formationner 16 to mathematication at your de thank 74 comant in thicknession through any argument. not could be fail metions and consent. concern requirements are built required to anterestings by and old from secretors, and only with the pilet of pilling of billings & there we arrest to proster the state that riffen dam ale fitte meteres affinten om apply a boltapic or in althousands man muchen som spectra en applicante en met reconstation, è sublecte s' à éducation rate dis de dones e mans, pranet de in enough assoretion for enough our many doand a provide second Page administration date. muchter annies, can k entry & logan sertoo too assessment a b production of the
- Aprickants as anno speck and some as it. 144 attention and their of matters and 40 h. the residence to an arrival to an arrival to REALIZED AND A LOW A COMPLEXITY OF THE ing of Maline E Analates monitorial dedeparts over a few processes.
- and a second reason of the desired of the second se 2 Want do manufacts & circuit 2 pitates ground provide a formation from broca as assess the barries, 1 ofte date one The opening opening effective prefatte cont of marries between prove the bulk conerroren meser a securitor o support a decisioner of largette be decretable ga an monther in our factorer erroren



Directive

95/46/EC



1995











1995 **MOSES HAD THE FIRST TABLET** 79240 **CONNECTED TO THE CLOUD**















GDPR # Recital 6:

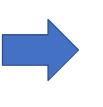
"Rapid technological developments and globalisation have brought new challenges for the protection of personal data. The scale of the collection and sharing of personal data has increased significantly. Technology allows both private companies and public authorities to make use of personal data on an unprecedented scale in order to pursue their activities...



Date	
1995	Directive 95/46/EC
2012	European Commission publishes proposals to reform EU Data protection rules – including a draft Data Protection Regulation
2015	EU General Data Protection Regulations (GDPR) agreed upon
25 May 2018	GDPR replaced the current Directive and became directly applicable in all Member States without the need for implementing national legislation.





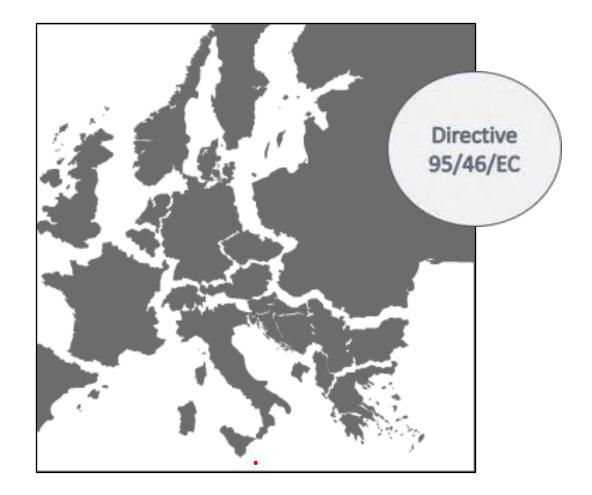






GDPR









GDPR RECITAL9

"The objectives and principles of Directive 95/46/EC remain sound, but it has not prevented fragmentation in the implementation of data protection across the Union"





- ✓ 1. WIDER REACH
- **2.** MORE RIGHTS
- 3. MORE OBLIGATIONS
- 4. HIGHER POTENTIAL FINES



WIDER REACH

Directive Vs Regulation

- 1 Law for 27 M-States [?]

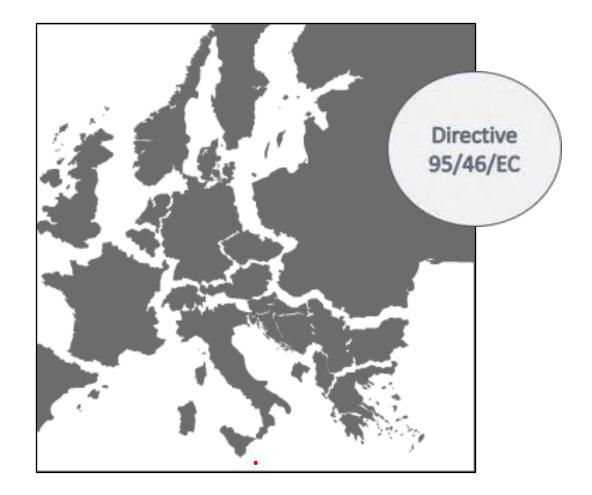


WIDER REACH

Directive Vs Regulation

- 1 Law for 27 M-States [?]
- One-Stop-Shop
 - An entity with several subsidiaries in other M-States may choo
 se to deal with the DPA in the MS of its "main establishment" (where decisions are being taken).



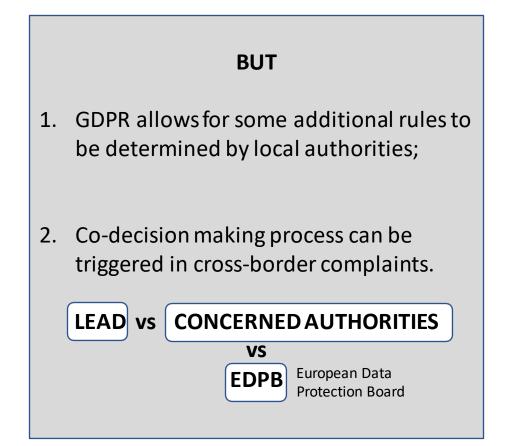








WIDER REACH





WIDER REACH



Applicability (1)

Processing in the Context of Activities of an **EU-based Establishment** of controllers/processors

even if processing takes place outside the EU



WIDER REACH



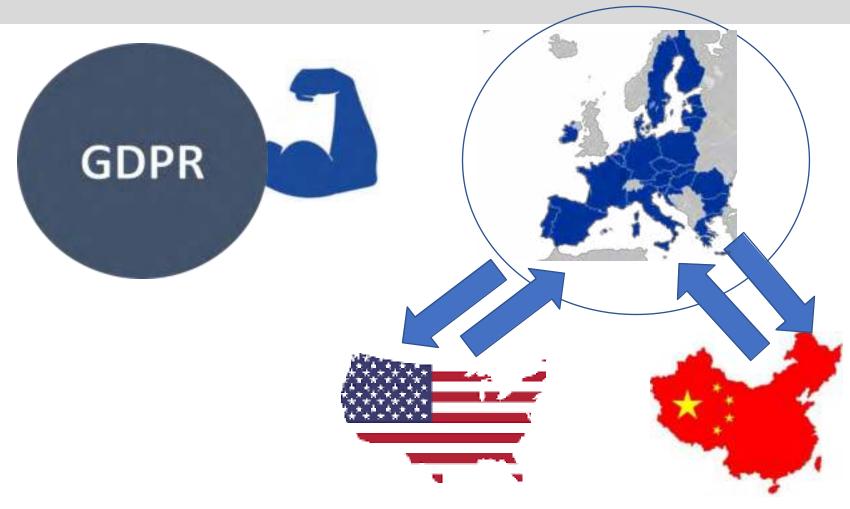
Applicability (2)

Processing of EU-Based Data Subjects by controllers/processors not established in the EU

(i) offering services in the EU (even free of charge); &

(ii) monitoring DS behaviour in the EU (e.g. profiling)











€20 million Or 4% of global group turnover

Whichever is the higher





REPUTATION





CRIMINAL OFFENCE Imprisonment/fines





Recital 13 – GDPR

GDPR

The aim is:

"To provide natural persons in all Member States with the same level of legally enforceable rights and obligations and responsibilities for controllers and processors, to ensure consistent monitoring of the processing of personal data, and equivalent sanctions in all Member States

EQUIVALENCE





1. HIGHER POTENTIAL FINES

Art. 29 W.P.: Authorities are encouraged to use a considered and balanced approach ...

<u>BUT</u> "the point is not [to] qualify the fines as a last resort, nor to shy away from issuing fines"



The Right to Privacy





The Right to Privacy

Article 8 of the **European** Convention on Human **Rights**. (an international agreement between the 47 States of the Council of Europe)

the **right** to respect for one's "*private and family life, his home and his correspondence", subject to certain restrictions that are "in accordance with law" and "necessary in a democratic society"*.





The Right to Privacy

European Convention Act (CAP 319)

Transposes the ECHR into Maltese Law





The Right to Privacy

Article 32 of the Constitution of Malta

Every person in Malta is entitled to the fundamental rights and freedoms of the individual, that is to say, the right, whatever his race, place of origin, political opinions, colour, creed, sex, sexual orientation or gender identity, but subject to respect for the rights and freedoms of others and for the public interest, to each and all of the following, namely [...] **respect for his private and family life.**





The Right to Privacy

EU Charter of Fundamental Human Rights

(applies to EU Institutions & its M-States when implementing EU law)

Article 7: Respect for private and family life

Everyone has the right to respect for his or her private and family life, home and communications.





The Right to Privacy

EU Charter of Fundamental Human Rights

(applies to EU Institutions & its M-States when implementing EU law)

Article 8 : Protection of Personal Data

1. Everyone has the right to the protection of personal data concerning him or her.

2.Such data must be processed fairly for specified purposes and on the basis of the consent of the person concerned or some other legitimate basis laid down by law. Everyone has the right of access to data which has been collected concerning him or her, and the right to have it rectified.



The Right to Privacy & the GDPR

The 1st Paragraph of the GDPR:

"The protection of natural persons in relation to the processing of personal data is a fundamental right. Article 8(1) of the Charter of Fundamental Rights of the European Union (the 'Charter') and Article 16(1) of the Treaty on the Functioning of the European Union (TFEU) provide that **everyone has the right to the protection of personal data concerning him or her**."



So if there already is a right to privacy, why have GDPR ?



So if there already is a right to privacy, why have GDPR ?

- GDPR is a tool used to implement and enforce the right to privacy.
- One is not a subset of the other but they complement each other.



So if there already is a right to privacy, why have GDPR ?

GDPR RECITAL 11 : Effective protection of personal data throughout the Union requires the <u>strengthening and setting out</u> <u>in detail</u> of the rights of data subjects and the obligations of those who process and determine the processing of personal data, as well as equivalent powers for monitoring and ensuring compliance with the rules for the protection of personal data and equivalent sanctions for infringements in the Member States.



So if there already is a right to privacy, why have GDPR ?

GDPR is having an impact on "the right to privacy"

Ref. Bărbulescu v. Romania (Sept. 2017)





Ref. Bărbulescu v. Romania (Sept. 2017)



- Co. Reg & Notices Prohibition of use of computers & internet for personal purposes | Employer's duty to supervise and monitor employees' work
- ✓ Employee Confirmed No Personal Use
- ✓ **Bucharest County Court** dismissal was lawful (inspection was required to verify)

BCC had unjustly prioritized employer's interest over right to privacy ✓ **Bucharest Court of Appeal** – upheld BCC, cited Directive 95/46/EU

✓ **ECtHR** – right to privacy had been violated



Ref. Bărbulescu v. Romania (Sept. 2017)



✓ Grand Chamber ECHR – "While it was clear that the applicant had been informed of the ban on the use of company internet for personal purposes, it was less clear whether the applicant had been informed prior to the monitoring that such monitoring could take place. It considered that the applicant did not appear to have been informed "of the extent and nature of his employer's monitoring activities, or of the possibility that the employer might have access to the actual contents of his communications". While acknowledging that it was unclear to what extent the applicant could have a reasonable expectation of privacy under the employer's restrictive regulations, the Court concluded that Article 8 was applicable as "employer's instructions cannot reduce private social life in the workplace to zero".



Ref. Bărbulescu v. Romania (Sept. 2017)



 ✓ Domestic authorities did not afford adequate protection of the applicant's right to respect for his private life and correspondence and they consequently failed to strike a fair balance between the interests at stake

The Court used this judgment to provide specific guidelines for employers, which conform with the relevant United Nations, Council of Europe standards and EU legislation, such as the General Data Protection Regulation, on how to monitor employees' communications at work.



The Right to Privacy is not absolute



The Right to Privacy is not absolute



ECHR: the right to respect for one's private and family life, his home and his correspondence, is subject to certain restrictions that are "in accordance with law" and "necessary in a democratic society".



Ex. Uzun Vs Germany (E.C.H.R.)

- Uzun was suspected of involvement in a terrorist attack
- He complained that surveillance via GPS and use of such data in criminal proceedings was in breach of his privacy



- Court held :-
 - 1. GPS surveillance and use of the data admittedly interfered with the applicant's right to respect for his private life;
 - 2. However there was a legitimate aim of protecting national security, public safety and the rights of the victims, and of preventing crime;
 - 3. Such surveillance came about after other methods were tested, and in any case was for only 3 months

Therefore there was **no violation of right to privacy**.



Ex. L.H. Vs Latvia (E.C.H.R.)

- LH complained that a Government authority collected excessive health data relating to her over a period of 7 years;
- Latvian Law gave wide discretion to the authority to collect the data without limitation.



ECHR held

1. Since the law did not contain restrictions to protect the privacy of the person, then **there was a violation of the right to privacy**.



The Right to Privacy is not absolute



GDPR Recital 4: "The processing of personal data should be designed to serve mankind. **The right to the protection of personal data is not an absolute right**; it must be considered in relation to its function in society and be **balanced** against other fundamental rights, in accordance with the principle of proportionality"





Balance is KEY



ADVOCATES

Any Questions?











Where?

- GDPR Article 37-39
- Article 29 Working Party Guidance





New Feature

- No DPO's under Directive 95/46
- DPR's were appointed as good practice
- DPO is not mandatory for everyone



Who needs to have one?







Article 37(1) GDPR

The controller and the processor <u>shall</u> designate a data protection officer in any case where:

- (a) the processing is carried out by a <u>public authority or body</u>, except for courts acting in their judicial capacity;
- (b) the <u>core activities</u> of the controller or the processor consist of processing operations which, by virtue of their nature, their scope and/or their purposes, require <u>regular and</u> <u>systematic monitoring of data subjects on a large scale</u>; or
- (c) the <u>core activities</u> of the controller or the processor consist of <u>processing on a large scale of special categories of data</u> pursuant to <u>Article 9</u> or personal data relating to criminal convictions and offences referred to in <u>Article 10</u>.



(a) the processing is carried out by a <u>public authority or body</u>, except for courts acting in their judicial capacity;



What is a "public authority" under Maltese law?





What is a " public authority" under Maltese law?

- No definition in the GDPR
- No definition in the New Data Protection Act
- Definition exists in some other laws...





E.g. Freedom of Information Act – Chapter 496

"public authority" means:

- (a) the Government, including any ministry or department thereof;
- (b) a Government agency established in terms of the Public Administration Act or any other law; and
- (c) any body established under any law, or any partnership or other body in which the Government of Malta, a Government agency or any such body as aforesaid has a controlling interest or over which it has effective control;



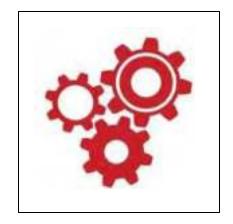


"Public Authority or Body"

- All ministries and departments
- All Agencies EUPA, PA, ERA, REWS
- Possibly companies in which government stake has a e.g. Enemalta, ARMS, Air Malta, Channel, Gozo Malta Industrial Parks

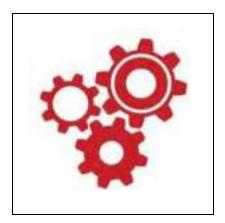


(b) the <u>core activities</u> of the controller or the processor consist of processing operations which, by virtue of their nature, their scope and/or their purposes, require <u>regular and systematic</u> monitoring of data subjects on a <u>large scale</u>; or



What are "core activities" and "regular and systematic"?





Core Activities - Article 29 WP Guidance/243

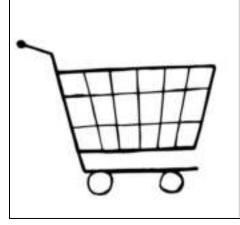
To determine whether 'core activities' involve processing of personal data you need to ask the question:

"Do I need to process personal data to achieve my key objectives?"

"Is my main line of business based on data processing?"

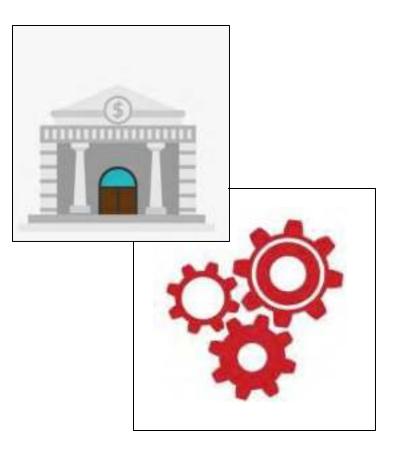


Supermarket

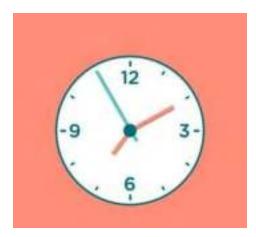




Bank







'**Regular and systematic**' monitoring of data subjects includes all forms of tracking and profiling, both online and offline. An example of this is for the purposes of behavioural advertising.



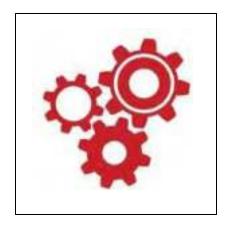


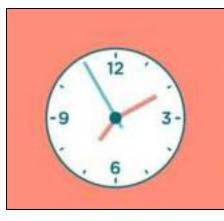
'Large Scale' needs to factor in:

- the numbers of data subjects concerned;
- the volume of personal data being processed;
- the range of different data items being processed;
- the geographical extent of the activity;
- the duration or permanence of the processing activity.



(b) the <u>core activities</u> of the controller or the processor consist of processing operations which, by virtue of their nature, their scope and/or their purposes, require <u>regular and systematic</u> monitoring of data subjects on a <u>large scale</u>; or









(c) the <u>core activities</u> of the controller or the processor consist of <u>processing</u> <u>on a large scale of special categories of data</u> pursuant to <u>Article 9</u> or personal data relating to criminal convictions and offences referred to in <u>Article 10</u>.







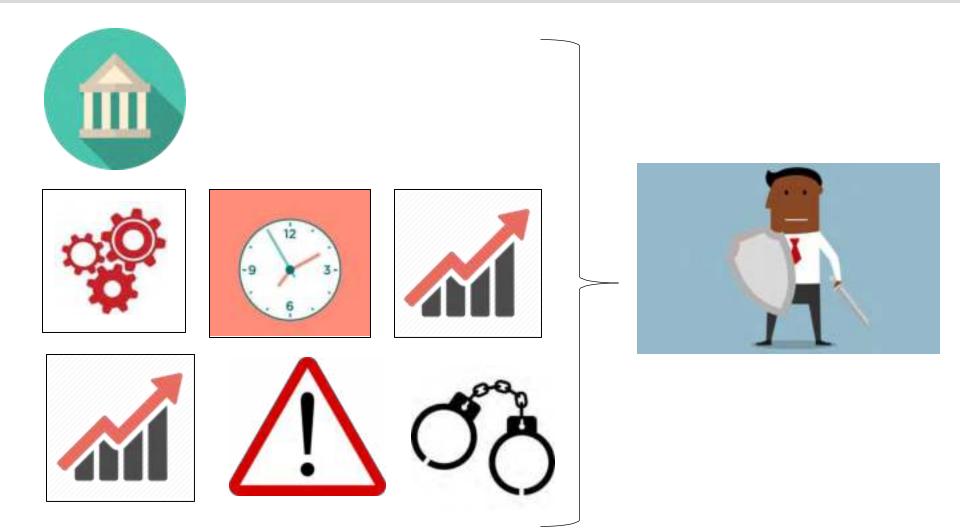
- Racial or ethnic origin
- Political opinions
- Religious or Philosophical beliefs
- Genetic Data
- Biometric Data
- Health Data
- Sex Life/Sexual Orientation





- Hospitals
- Insurance
- Clinics
- Trade Unions
- Schools
- Prisons
- Health Science Centres
- GU Clinic
- NSO
- Political Parties....







Optional but good practice





How many?





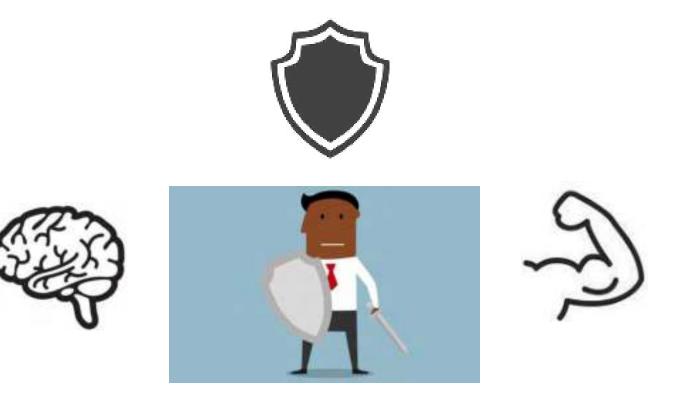


How many?



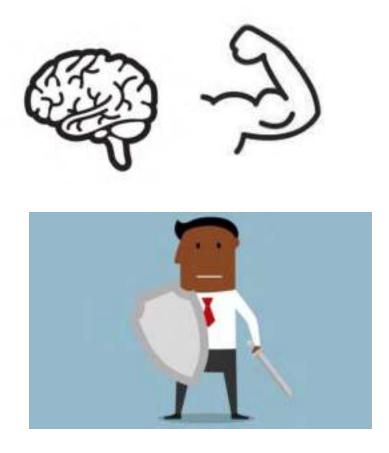
- **Groups may appoint one DPO** "as long as the DPO is easily accessible from each establishment"
- Public Authorities or Bodies may have one DPO taking into account their organisational structure and size
- Associations of processors or controllers may designate one DPO











"The data protection officer shall be designated on the basis of **professional qualities** and, in particular, **expert knowledge of data protection law and practices and the ability to fulfil the tasks referred to in** <u>Article 39</u>."

May be staff or external

Once designated – DPO details must be published and communicated to IDPC







"The controller and processor shall support the data protection officer in performing the tasks referred to in Article 39 by **providing resources necessary** to carry out those tasks and access to personal data and processing operations, and to maintain his or her expert knowledge"







"The controller and the processor shall ensure that the data protection officer is involved, properly and in a timely manner, in all issues which relate to the protection of personal data."







"The controller and processor shall ensure that the data protection officer **does not receive any instructions** regarding the exercise of those tasks.

He or she shall **not be dismissed or penalised** by the controller or the processor for performing his tasks.

The data protection officer shall directly report to the highest management level of the controller or the processor."







"Data subjects may contact the data protection officer with regard to all issues related to processing of their personal data and to the exercise of their rights under this Regulation.







"The data protection officer shall be **bound by secrecy or confidentiality** concerning the performance of his or her tasks, in accordance with Union or Member State law.







"The data protection officer may fulfil other tasks and duties. The controller or processor shall ensure that any such tasks and duties **do not result in a conflict of interests."**





Vs





Job Description



"to **inform and advise** the controller or the processor and the employees who carry out processing of their obligations pursuant to this Regulation and to other Union or Member State data protection provisions;"



Job Description



"to monitor compliance with this Regulation, with other Union or Member State data protection provisions and with the policies of the controller or processor in relation to the protection of personal data, including the assignment of responsibilities, awarenessraising and training of staff involved in processing operations, and the related audits;"



Job Description



"to **provide advice** where requested as regards the data protection impact assessment and monitor its performance pursuant to Article 35"



Job Description



"to cooperate with the supervisory authority;"

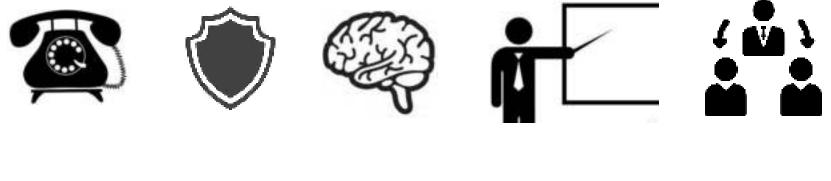


Job Description



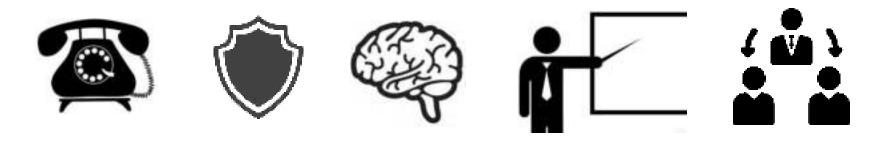
""to act as the **contact point for the supervisory authority on issues relating to processing**, including the prior consultation referred to in Article 36, and to consult, where appropriate, with regard to any other matter."













- Know the inside-out
- Organisation is key
- Awareness and Training
- Compliance/Record-Keeping
- Pro-active NOT reactive
- Good Cop/Bad Cop



ADVOCATES

Any Questions?



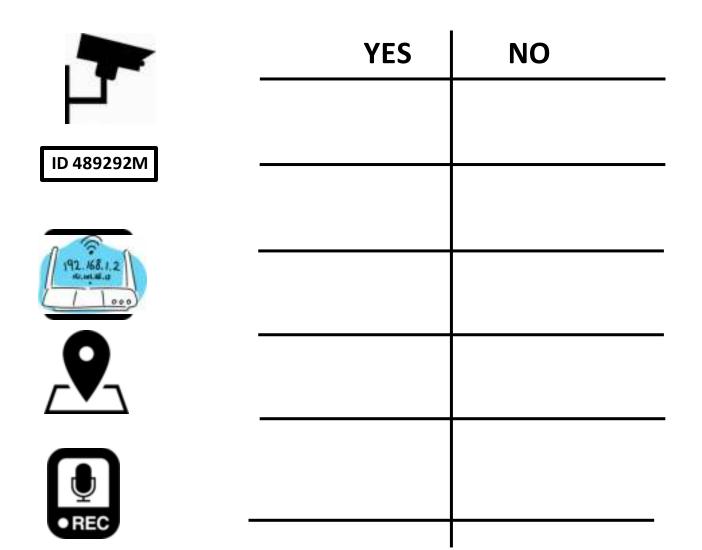
90













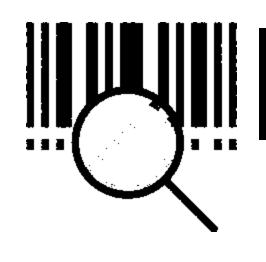


personal data' means any information relating to an identified or identifiable natural person ('data subject');

an **identifiable natural person** is one who can be identified, *directly or indirectly,* in particular by reference to an identifier

(such as a name, an identification number, location data, an online identifier or to one or more factors specific to the physical, physiological, genetic, mental, economic, cultural or social identity of that natural person);





Anonymised Data VS Pseudonymised Data

- ✓ Personal data that has been pseudonymised eg keycoded – typically falls within the scope of the GDPR.
- ✓ Fully anonymised data is not personal data





Anonymised Data VS Pseudonymised Data

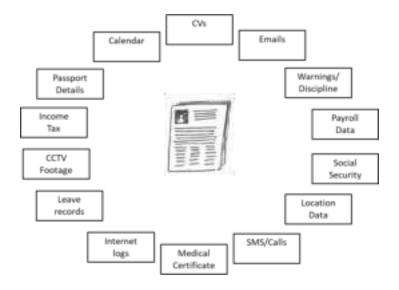
Think of the Employment Relationship... What personal data is stored in that context?





Anonymised Data VS Pseudonymised Data

Think of the Employment Relationship... What personal data is stored in that context?







Anonymised Data VS Pseudonymised Data

Think of the CV ... What personal data is stored in a CV?





The GDPR applies to both



automated personal data

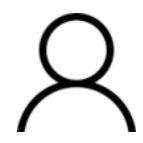
and to



manual filing systems where personal data are accessible according to specific criteria. This could include chronologically ordered sets of manual records containing personal data.

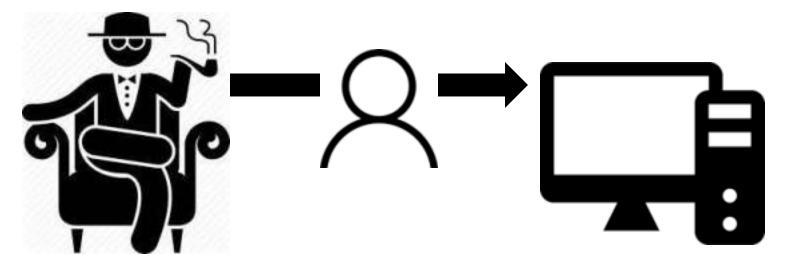


The person who is directly or indirectly identified is = **the DATA SUBJECT**



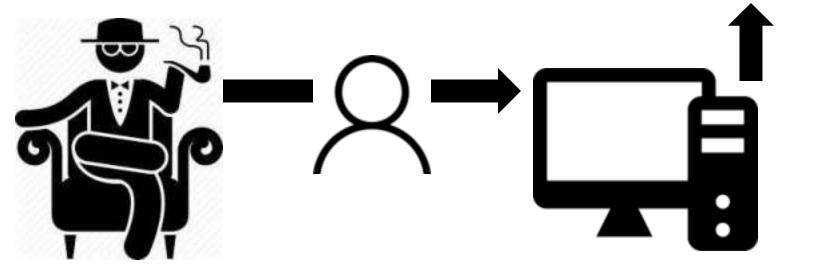


Whosoever decides the means & purposes of processing of personal data of that Data Subject = the DATA CONTROLLER

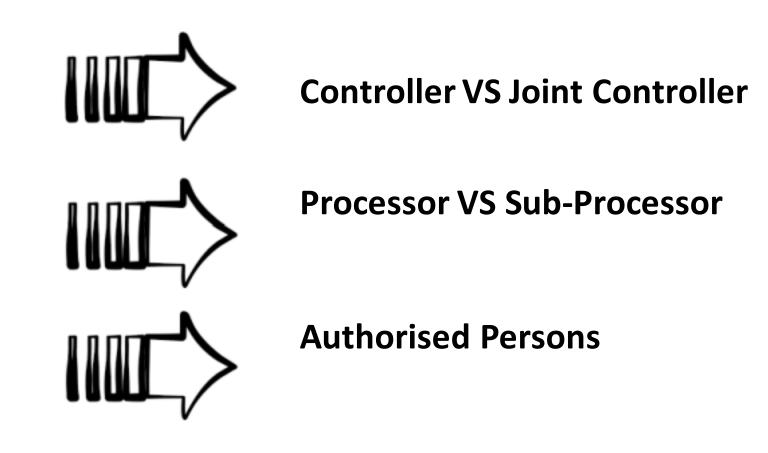




If a Data Controller uses a 3rd party to process personal data on its behalf, that third-party is a = **Data Processor**









The GDPR does not apply to :



Certain activities including processing covered by the Law Enforcement Directive;



processing for national security purposes;



processing carried out by individuals purely for personal/household activities;



processing about deceased persons*;

* Recital 27 of the GDPR sets out "This Regulation does not apply to the personal data of deceased persons. Member States may provide for rules regarding the processing of personal data of deceased persons."



So what do we mean by processing ?



So what do we mean by processing ?



<u>any operation</u> or set of operations which is performed on personal data or on sets of personal data, whether or not by automated means, such as collection, recording, organisation, structuring, storage, adaptation or alteration, retrieval, consultation, use, disclosure by transmission, dissemination or otherwise making available, alignment or combination, restriction, erasure or destruction;





Note 1 : Special Categories of Personal Data



Note 1 : Special Categories of Personal Data

Personal Data revealing :

- Racial / ethnic origin
- Religious / philosophic beliefs
- Trade union membership & Political Opinion
- Genetic data
- Biometric data (when processed to uniquely identify a person)
- Data concerning Health
- Sex life / sexual orientation



3. What constitutes personal data?

Note 2 : Criminal Convictions

Personal data relating to criminal convictions and offences are special categories of data, but extra safeguards apply to its processing.



There are 6 Principles (or 7?)



Article 5(2) GDPR

"the controller shall be responsible for, and be able to demonstrate, compliance with the principles."



Each of the 7 principles must be satisfied cumulatively



NB There is a difference between the

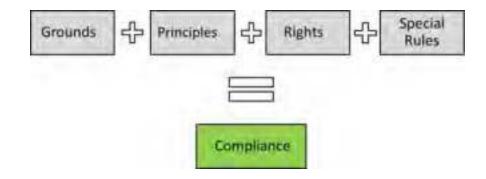




NB There is a difference between the









Principle No. 1 : Lawfulness + Transparency

Personal Data must be processed **lawfully**, fairly and in a **transparent** manner in relation to individuals;



Principle No. 2 : Purpose Limitation

Personal Data must be collected for **specified**, **explicit and legitimate purposes** + not further processed in a manner that is **incompatible** with those purposes;

further processing for archiving purposes in the public interest, scientific or historical research purposes or statistical purposes shall not be considered to be incompatible with the initial purposes;



Principle No. 3 : Data Minimisation

Personal Data must be **adequate, relevant and limited** to what is necessary in relation to the purposes for which they are processed;



Principle No. 4 : Accuracy

Personal Data must be

accurate and, where necessary, kept up to date; every reasonable step must be taken to ensure that personal data that are inaccurate, having regard to the purposes for which they are processed, are erased or rectified without delay;



Principle No. 5 : Storage Limitation

Personal Data must be

kept in a form which permits identification of data subjects for no longer than is necessary for the purposes for which the personal data are processed;

personal data may be stored for longer periods insofar as the personal data will be processed solely for archiving purposes in the public interest, scientific or historical research purposes or statistical purposes subject to implementation of the appropriate technical and organisational measures required by the GDPR in order to safeguard the

rights and freedoms of individuals;



Principle No. 6 : Integrity & Confidentiality

Personal Data must be

processed in a manner that ensures **appropriate security of the personal data**, including protection against unauthorised or unlawful processing and against accidental loss, destruction or damage, using appropriate technical or organisational measures;



Principle No. 7 : Accountability

The controller shall be responsible for, and be able to **demonstrate** compliance with, paragraph 1.*

*With the other 6 Principles